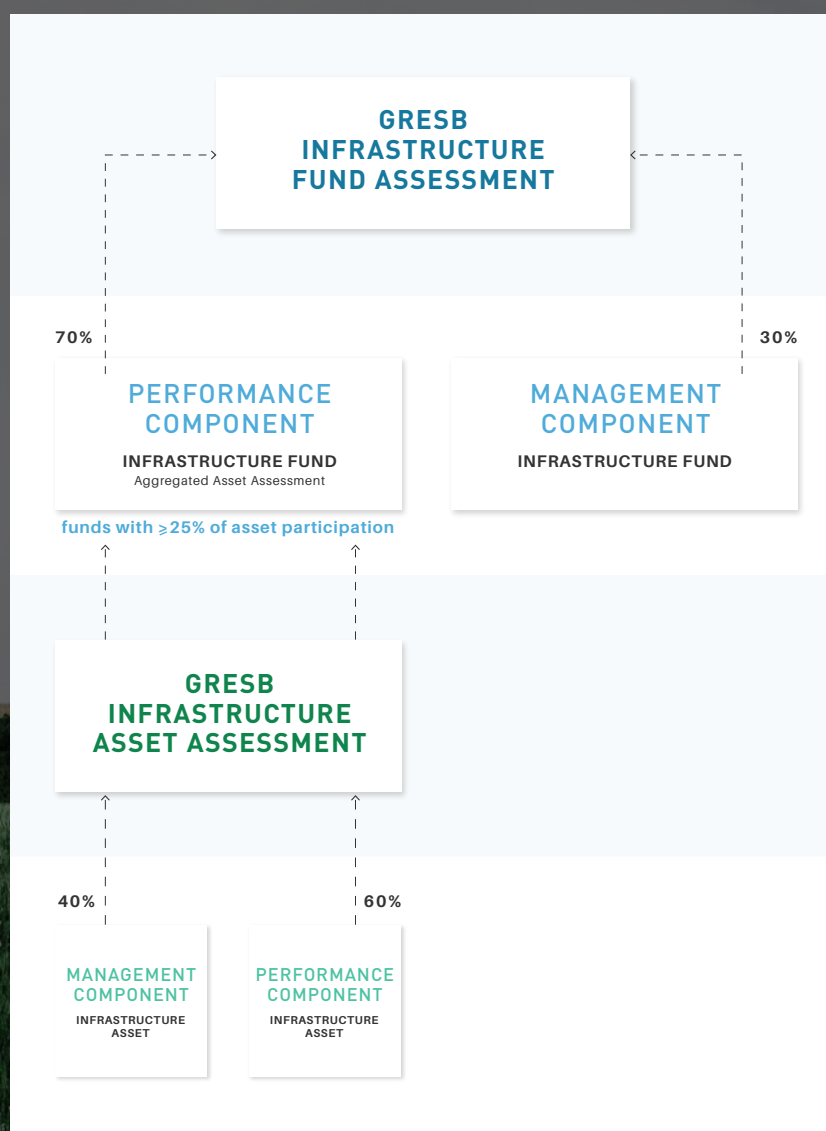


# GRESB Infrastructure Assessment

The GRESB Infrastructure Assessments are ESG engagement and benchmarking tools for institutional investors, fund managers, infrastructure companies and asset operators working in the infrastructure space. There are two complementary assessments, a Fund Assessment and an Asset Assessment. Both assessments address critical aspects of ESG performance through a standardized, globally applicable, reporting and benchmarking framework. The Assessments focus on operating investments, infrastructure assets, companies and funds, and cover the full breadth of infrastructure sectors, including:

- Data Infrastructure
- Energy Resources
- Environmental Services
- Network Utilities
- Power Generation excluding Renewables
- Renewable Power
- Social Infrastructure
- Other

The GRESB Infrastructure Assessments provide investors with actionable information and tools to monitor and manage the ESG risks and opportunities of their investments, and prepare for increasingly rigorous ESG obligations. Assessment participants receive comparative business intelligence on where they stand against their peers, a roadmap with the actions they can take to improve their ESG performance and a communication platform to engage with investors.



# 2022 GRESB Infrastructure Fund Assessment - Indicators

## ENTITY AND REPORTING CHARACTERISTICS

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	EVIDENCE NEEDED	PRE-FILL	WEIGHTING PTS 1 % WEIGHT IN COMPONENT
Entity Characteristics	EC1	Reporting entity		X	0.0   0.0%
	EC2	Nature of ownership		X	0.0   0.0%
	EC3	Entity commencement date		X	0.0   0.0%
	EC4	Reporting period		X	0.0   0.0%
Reporting Characteristics	RC1	Reporting currency		X	0.0   0.0%
	RC2	Economic size			0.0   0.0%
	RC3	Sector & geography		X	0.0   0.0%
	RC4	Nature of entity's business		X	0.0   0.0%
	RC5	Description of the fund		X	0.0   0.0%
	RC6	Portfolio of entity assets		X	0.0   0.0%

## MANAGEMENT

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	EVIDENCE NEEDED	PRE-FILL	WEIGHTING PTS 1 % WEIGHT IN COMPONENT
Leadership	LE1	ESG leadership commitments	X	X	1.1   3.7%
	LE2	Responsible investment strategy	X	X	1.5   5.0%
		<i>Objectives</i>			
	LE3	Individual responsible for ESG and climate-related objectives		X	1.1   3.7%
	LE4	ESG and/or climate-related senior decision-maker		X	1.5   5.0%
	LE5	Personnel ESG performance targets	X	X	1.5   5.0%

## 2022 GRESB Infrastructure Fund Assessment - Indicators

Policies	PO1	Policies on environmental issues	X	X	1.0   3.3%
	PO2	Policies on social issues	X	X	1.0   3.3%
	PO3	Policies on governance issues	X	X	1.0   3.3%
Reporting	RP1	ESG reporting	X	X	2.8   7.1%
	RP2.1	ESG incident monitoring		X	1.4   3.6%
	RP2.2	ESG incident occurrences			0.0   0.0%
Risk Management	RM1.1	ESG due diligence for new acquisitions	X	X	5.9   19.7%
	RM1.2	ESG risks and opportunities in investment (monitoring processes/asset management)		X	5.9   19.7%
	<i>Climate-related risk management</i>				
	RM2	Resilience of strategy to climate-related risks			0.0   0.0%
	RM3.1	Transition risk identification		X	0.0   0.0%
	RM3.2	Transition risk impact assessment		X	0.0   0.0%
	RM3.3	Physical risk identification		X	0.0   0.0%
	RM3.4	Physical risk impact assessment		X	0.0   0.0%
	SE1	Employee engagement program		X	1.0   3.3%
	SE2	Employee training			1.0   3.3%
Stakeholder Engagement	SE3	Employee satisfaction monitoring		X	1.0   3.3%
	SE4	Inclusion & diversity		X	1.0   3.3%

# 2022 GRESB Infrastructure Asset Assessment - Indicators

## ENTITY AND REPORTING CHARACTERISTICS

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	EVIDENCE NEEDED	PRE-FILL	WEIGHTING PTS 1 % WEIGHT IN COMPONENT
Entity Characteristics	EC1	Reporting entity		X	0.0   0.0%
	EC2	Nature of ownership		X	0.0   0.0%
	EC3	Entity commencement date		X	0.0   0.0%
	EC4	Reporting period		X	0.0   0.0%
Reporting Characteristics	RC1	Reporting currency		X	0.0   0.0%
	RC2	Economic size (Gross Asset Value)			0.0   0.0%
	RC3	Sector & geography		X	0.0   0.0%
	RC4	Ancillary activities		X	0.0   0.0%
	RC5	Nature of entity's business		X	0.0   0.0%
	RC6	Description of the asset		X	0.0   0.0%
	RC7	GRESB Materiality Assessment		X	0.0   0.0%

## MANAGEMENT

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	EVIDENCE NEEDED	PRE-FILL	WEIGHTING PTS 1 % WEIGHT IN COMPONENT
Leadership	LE1	Entity materiality assessment		X	1.4   3.6%
	LE2	ESG leadership commitments		X	0.0   0.0%
		<i>Objectives</i>			
	LE3	ESG objectives		X	2.8   7.1%
	LE4	Individual responsible for ESG and climate-related objectives		X	1.4   3.6%

## 2022 GRESB Infrastructure Asset Assessment - Indicators

	LE5	ESG and/or climate-related senior decision-maker		X	1.4   3.6%
	LE6	Personnel ESG performance targets	X	X	2.8   7.1%
Policies	PO1	Policies on environmental issues	X	X	1.4   3.6%
	PO2	Policies on social issues	X	X	1.4   3.6%
	PO3	Policies on governance issues	X	X	1.4   3.6%
Reporting	RP1	ESG reporting	X	X	2.8   7.1%
	RP2.1	ESG incident monitoring		X	1.4   3.6%
	RP2.2	ESG incident occurrences			0.0   0.0%
Risk-Management	RM1	Management systems	X	X	2.8   7.1%
	<i>Risk Assessments</i>				
	RM2.1	Environmental risk assessment	X	X	2.8   7.1%
	RM2.2	Social risk assessment	X	X	2.8   7.1%
	RM2.3	Governance risk assessment	X	X	2.8   7.1%
	<i>Climate-related risk assessment</i>				
	RM3	Resilience of strategy to climate-related risks		X	0.0   0.0%
	RM4.1	Transition risk identification		X	0.0   0.0%
	RM4.2	Transition risk impact assessment		X	0.0   0.0%
	RM4.3	Physical risk identification		X	0.0   0.0%
	RM4.4	Physical risk impact assessment		X	0.0   0.0%

## 2022 GRESB Infrastructure Asset Assessment - Indicators

	ESG Monitoring				
	RM5.1	Monitoring of environmental performance		X	1.4   3.6%
	RM5.2	Monitoring of social performance		X	1.4   3.6%
Stakeholder Engagement	RM5.3	Monitoring of governance performance		X	2.8   7.1%
	SE1	Stakeholder engagement program		X	2.8   7.1%
	SE2	Supply chain engagement program		X	1.4   3.6%
	SE3.1	Stakeholder grievance process		X	1.4   3.6%
	SE3.2	Stakeholder grievance monitoring			0.0   0.0%

### PERFORMANCE

ASPECT	INDICATOR CODE	SUMMARIZED INDICATORS	EVIDENCE NEEDED	PRE-FILL	WEIGHTING PTS   % WEIGHT IN COMPONENT
Implementation	IM1	Implementation of environmental actions			0.0   0.0%
	IM2	Implementation of social actions			0.0   0.0%
	IM3	Implementation of governance actions			0.0   0.0%
Output & Impact	OI1	Output & impact			0.0   0.0%
Energy	EN1	Energy			4.1   6.8%
Greenhouse Gas Emissions	GH1	Greenhouse gas emissions			4.1   6.8%
Air Pollution	AP1	Air pollution			4.1   6.8%
Water	WT1	Water inflows / withdrawals			4.1   6.8%
	WT2	Water outflows / discharges			4.1   6.8%

## 2022 GRESB Infrastructure Asset Assessment - Indicators

Waste	WS1	Waste			4.1   6.8%
Biodiversity & Habitat	BI1	Biodiversity & habitat			4.1   6.8%
Health & Safety	HS1	Health & safety: employees			4.1   6.8%
	HS2	Health & safety: contractors			4.1   6.8%
	HS3	Health & safety: users			4.1   6.8%
	HS4	Health & safety: community			4.1   6.8%
Employees	EM1	Employee engagement		X	4.1   6.8%
	EM2	Inclusion & diversity		X	4.1   6.8%
Customers	CU1	Customer satisfaction monitoring		X	4.1   6.8%
Certification & Awards	CA1	Infrastructure certifications	X	X	2.9   4.8%
	CA2	Awards			0.0   0.0%

